

# ON THE CONNECTIONS BETWEEN HELSON SETS AND APPROXIMATION BY CONVOLUTIONS

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§ 1. In the well known book of RUDIN [1]\* the following nice characterization of Helson sets can be found: (Thm. 5.6.3)

(H). For any compact set  $P$ , let  $I(P)$  be the set of all functions in  $L^1(\Gamma)$  such that  $f(x) = 0$  for all  $x \in P$  and the dual space of the quotient space  $L^1(\Gamma)/I(P)$  be  $\Phi(P)$ . Then the following three properties of a compact set  $P$  in a locally compact Abelian group  $G$  are equivalent:

- a)  $P$  is a Helson set.
- b)  $\|\mu\|$  and  $\|\hat{\mu}\|_\infty$  are equivalent norms on  $M(P)$ .
- c) Each  $\Phi \in \Phi(P)$  is (equal almost everywhere to) the Fourier-Stieltjes transform of a  $\mu \in M(P)$ .

The purpose of this paper is to show that theorem (H) is the particular case for  $p = 2$  of a theorem concerning translation-invariant operators in  $L^p(G)$   $1 < p < \infty$  and meaningful for non-commutative  $G$  too. The investigations leading to the present paper were deeply motivated by EDWARDS [4]. His theorem 1.4 in [4] is essentially identical with the first part of our Theorem 1 on the equivalence of I and II. Assertion III and the cases of  $p \neq q$  investigated in Theorem 2 serve to make more clear the connections between theorem (H), the approximation by convolutions and translation invariant operators in  $L^p(G)$  ( $1 < p < \infty$ ). In § 3 we shall show that to a certain extent our results are valid for non-commutative  $G$  too. In § 4, by generalizing Theorem 1.4 in [4] to general Banach spaces, the exact correspondence will be shown between Theorem 1 and the main results of EDWARDS [4]

§ 2. A continuous linear operator  $T$  from  $L^p(G)$  into  $L^q(G)$  ( $1 \leq p \leq q < \infty$ ) is called *translation invariant* if  $TU_t = U_tT$  for  $U_t : U_t f(\tau) = f(t + \tau)$ . It is easy to verify that the operator  $T_\mu$  defined by  $T_\mu f = \mu * f$ , where  $\mu * f = \int_G f(x - y) d\mu(x)$ , is a translation invariant operator in  $L^p(G)$  ( $1 \leq p < \infty$ ) for every  $\mu \in M(G)$  and we have for the norm of  $T_\mu$

$$\|T_\mu\|_p \leq \|\mu\|.$$

\* The notations and terminology of [1] will be throughout followed.

In the case of a commutative  $G$ , the Banach space of translation invariant operators in  $L^p$  ( $1 < p < \infty$ ) can be characterized as follows: [5]

Let  $A_p$  be the set of all functions on  $G$  which are of the form  $\sum_{k=1}^{\infty} f_k * g_k$  with  $f_k \in L^p, g_k \in L^{p'}$  and  $\sum_{k=1}^{\infty} \|f_k\|_p \|g_k\|_{p'} < \infty$ . For  $h \in A_p$  define

$$\|h\|_{A_p} = \inf \left\{ \sum_{k=1}^{\infty} \|f_k\|_p \|g_k\|_{p'} : h = \sum_{k=1}^{\infty} f_k * g_k \right\},$$

then  $A_p$  endowed with the norm defined above is a Banach space.

*The Figa-Talamanca's Theorem.* The Banach space of translation invariant operators in  $L^p$  ( $1 < p < \infty$ ) is isometric and isomorphic to the dual space  $A_p^*$  of  $A_p$ . If  $T$  is a translation invariant operator in  $L^p$ , then  $T$  corresponds to the functional  $\varphi_T$  defined by

$$\varphi_T(h) = \sum_{k=1}^{\infty} T f_k * g_k(0). \tag{1}$$

for  $h = \sum_{k=1}^{\infty} f_k * g_k \in A_p$ .

*Remark.* If  $G$  is the finite dimensional Euclidian space and  $1 \leq p \leq q < \infty$ , then each translation invariant operator  $T$  from  $L^p$  into  $L^q$  can be represented by a tempered distribution  $T$  as a convolution operator in the following sense [7]:

For every testing function  $\psi, T\psi = T * \psi$ .

supp  $T$  is the support of the functional  $\varphi_T$  corresponding to  $T$  by (1).

Now we can state the generalization of (H) as follows:

*Theorem 1.* The following three properties of a closed set  $P$  in a locally compact Abelian group  $G$  are equivalent:

I. For every  $F \in C_0(P)$ , there exists  $R > 0, f_k \in L^p(G), g_k \in L^{p'}(G), \lambda_k$  complex numbers  $k = 1, 2, \dots$  so that  $\|f_k\|_p \leq R, \|g_k\|_{p'} \leq R, \sum_{k=1}^{\infty} |\lambda_k| \leq 1$  and

$$F(x) = \left( \sum_{k=1}^{\infty} \lambda_k f_k * g_k \right) (x) \quad \text{uniformly for } x \in P.$$

II. There is a number  $K > 0$  depending only on  $p$  and  $P$  such that for every  $\mu \in M(P)$

$$K \|\mu\| \leq \|T_\mu\|_p \leq \|\mu\|;$$

i.e.  $\|\dots\|$  and  $\|\dots\|_p$  are equivalent norms on  $M(P)$ .

\* Here and in the following, superscript marked by strokes will mean the conjugate Lebesgue space.

III. For every translation invariant operator  $T$  of  $L^p(G)$  for which  $\text{supp } T \subset P$  there exists  $\mu \in M(P)$  so that  $T = T_\mu$ .

First we shall show that Theorem 1 for  $p = 2$  is equivalent to (H).

$A(G)$  consists precisely of the convolutions  $f_1 * f_2$  with  $f_1$  and  $f_2$  in  $L^2(G)$  ([1], Theorem 1.6.3), hence  $A \subset A_2$ . On the other hand from

$$\|h\|_A = \|f_1 * f_2\|_A = \|\hat{f}_1 \hat{f}_2\|_1 \leq \|\hat{f}_1\|_2 \|\hat{f}_2\|_2 = \|f_1\|_2 \|f_2\|_2 \tag{2}$$

follows that if  $\sum_{k=1}^\infty \|f_k\|_2 \|g_k\|_2 < \infty$  then  $\sum_{k=1}^\infty f_k * g_k$  is a Cauchy sequence in  $A$  and hence  $\sum_{k=1}^\infty f_k * g_k \in A$ . Consequently,  $A$  and  $A_2$  consist of the same functions.

Similarly it follows from (2) that

$$\left\| \sum_{k=1}^\infty f_k * g_k \right\|_A \leq \left\| \sum_{k=1}^\infty f_k * g_k \right\|_{A_2}$$

hence from the well-known theorem of Banach we conclude that the norms  $\|\dots\|_A$  and  $\|\dots\|_{A_2}$  are equivalent.

From the Figa-Talamanca Theorem it follows that the Banach space of the translation invariant operators in  $L^2$  is isometric and isomorphic to  $A_2^*$  and on the other hand from the definition of  $A(G)$  it follows that  $A(G)^*$  is isometric and isomorphic to  $L^\infty(T)$ . Hence  $A_2^* = L^\infty(T)$  apart from a homeomorphism.

Now it is also clear that the set

$$\{T : \varphi_T \in A_2^*, \text{supp } T \subset P\}$$

is identical with  $\Phi(P)$  in (H).

Hereby the equivalence of Theorem 1 for  $p = 2$  and (H) is proved.

The proof of Theorem 1 is the same as that of (H). It is also a corollary of the following theorem: ([1] Appendix C.11)

(A) Suppose  $X, Y$  are Banach spaces,  $B$  is a continuous injection (i.e. 1—1) from  $X$  into  $Y$  and  $BX$  is dense in  $Y$ . Then each of the following three properties implies the other two:

- (a)  $BX = Y$
- (b) There exists  $\delta > 0$  so that  $\|B^*y^*\| \geq \delta \|y^*\|$  for every  $y^* \in Y^*$ .
- (c)  $B^*Y^* = X^*$ .

Indeed, if

$$I(P) = \{h \in A_p, h(x) = 0 \text{ for } x \in P\},$$

and  $X$  is the quotient space  $A_p(G)/I(P)$ ,  $Y = C_0(P)$  in (A) then we get Theorem 1.

As an other corollary of the theorem (A) we have an assertion for translation invariant operators from  $L^p$  into  $L^q$  ( $1 \leq p < q < \infty$ ) similar to Theorem 1.

It is easy to verify that the operator  $T_h$  defined by  $T_h f = h * f$  is a translation invariant operator from  $L^p$  into  $L^q$  if  $h \in L^r$  and  $\frac{1}{r} + \frac{1}{p} = \frac{1}{q} = 1$ . Moreover, we have for the norm of  $T_h$

$$\|T_h\|_p^q \leq \|h\|_r.$$

For commutative  $G$ , the Banach space of translation invariant operators from  $L^p$  into  $L^q$  can be characterized as follows [6]:

Let  $A_p^q \left( \frac{1}{p} + \frac{1}{q} > 1 \right)$  be the set of all functions on  $G$  which are of the form  $\sum_{k=1}^{\infty} f_k * g_k$  with  $f_k, g_k \in C_c$  and  $\sum_{k=1}^{\infty} \|f_k\|_p \|g_k\|_{q'} < \infty$ . For  $h \in A_p^q$  define

$$\|h\| = \inf \left\{ \sum_{k=1}^{\infty} \|f_k\|_p \|g_k\|_{q'} : h = \sum_{k=1}^{\infty} f_k * g_k \right\}$$

then  $A_p^q$  endowed with the norm defined above is a Banach space.

*The Theorem of Figa-Talamanca and Gaudry.* The Banach space of translation invariant operators from  $L^p$  into  $L^q$  ( $1 \leq p < q < \infty$ ) is isomorphic and isometric to the dual space  $A_p^{q*}$  of  $A_p^q$ . If  $T$  is a translation invariant operator then  $T$  corresponds to the functional  $q_T$  defined by

$$q_T(h) = \sum_{k=1}^{\infty} T f_k * g_k(0) \quad (3)$$

for  $h = \sum_{k=1}^{\infty} f_k * g_k \in A_p^q$ .

Again, we define  $\text{supp } T$  as the support of the functional  $q_T$  corresponding to  $T$  by (3).

If  $I(P) = \{h \in A_p^q, h(x) = 0 \text{ for } x \in P\}$ ,  $X$  is the quotient space  $A_p^q(G)/I(P)$  and  $Y = L^{r'}(P)$ ,  $\left( \frac{1}{p} + \frac{1}{q} = \frac{1}{r'} = 1 \right)$  in the Theorem (A) then we obtain:

*Theorem 2.* The following three properties of a closed set  $P$  in a locally compact Abelian group  $G$  are equivalent:

I. For every  $F \in L^r(P)$  there exist  $R > 0$ :  $f_k, g_k \in C_c(G)$ ,  $\lambda_k$  complex numbers  $k = 1, 2, \dots$  so that  $\|f_k\|_p \leq R, \|g_k\|_q < R, \sum_{k=1}^{\infty} |\lambda_k| \leq 1$  and

$$F(x) = \left( \sum_{k=1}^{\infty} \lambda_k f_k * g_k \right) (x) \text{ in } L^r\text{-convergence for } x \in P.$$

II. There is a number  $K > 0$  depending only on  $(p, q)$  and  $P$  such that for every  $h \in L^r(P)$

$$K \|h\|_r \leq \|T_h\|_p^q \leq \|h\|_r.$$

III. For every translation invariant operator  $T$  from  $L^p$  into  $L^q$  for which  $\text{supp } T \subset P$  there exists  $h \in L^r(P)$  so that  $T = T_h$ .

§ 3. The first part of Thm 1 is valid for non commutative  $G$  too with the same proof. Considering the II  $\leftrightarrow$  III part, it depends on the validity of the Figa-Talamanca Theorem for non-commutative  $G$ .

It follows from the Bipolar Theorem ([2] pp. 35—36.) that  $A_p^*$  is the weak operator closure of the operators  $T_h$  defined by  $T_h f = h * f$  for any locally compact  $G$  hence, the non-trivial part of the Figa-Talamanca Theorem is that every translation-invariant operator is approximated in such a way.

If  $p = 2$  then from the Second Commutant Theorem ([8] Chap. 1. § 5 follows that this is true for any locally compact  $G$ .

A similar argument holds for  $A_p^q, p \neq q$  and Theorem 2.

§ 4. Let  $X$  and  $Y$  be Banach spaces and  $B$  a continuous homomorphism from  $X$  into  $Y$ . It is well known that the range of  $B$  is dense in  $Y$  if and only if the dual operator  $B^*$  is injective (i.e. 1—1). The present paragraph deals with the following stronger approximation property:

(P) For every  $y \in Y$  there exists  $K > 0$  and a sequence  $\{x_n\}, x_n \in X$  so that  $\|x_n\| \leq K$  and  $y = \lim_{n \rightarrow \infty} Bx_n$ .

It turns out that there is no proper subset of  $Y$  satisfying (P) which means that if (P) is satisfied then  $B$  is surjective (i.e. onto).

*Theorem 3.* The property (b) in the theorem (A) is equivalent with (P).

*Proof.* (P)  $\Rightarrow$  (b): It follows from (P) that the range of  $B$  is dense in  $Y$  hence  $B^*$  is injective. So, we have only to show that the inverse mapping  $B^{*-1}$  from  $B^*Y^*$  onto  $Y^*$  is continuous. I.e. if the sequence  $\{B^*y_n^*\}$  is convergent then  $\{y_n^*\}$  is also convergent.

It follows from (P) that

$$\begin{aligned} |\langle y_n^* - y_m^*, y \rangle| &\leq \sup_k |\langle y_n^* - y_m^*, Bx_k \rangle| = \\ &= \sup_k |\langle B^*(y_n^* - y_m^*), x_k \rangle| \leq K \|B^*y_n^* - B^*y_m^*\| \\ &\qquad y_n^*, y_m^* \in Y^*. \end{aligned}$$

or every  $y \in Y$  and from the Banach—Steinhaus  $\{y_n^*\}$  converges to a certain  $y^* \in Y^*$ .

$$= \{x: \|x\| \leq K \quad x \in X\}$$

then  $\{S_K^0, K > 0\}$  is a base for  $X^*$  ([2] pp. 34—36)  $\{0\}$  is a base for the relative topology of  $B^*Y^*$   $B^*$  is injective and the inverse mapping  $B^{*-1}$  from  $Y^*$  to  $X^*$  is continuous. Consequently, for every open neighbourhood  $\mathcal{U}$  of  $0$  in  $X^*$  there is a number  $K > 0$  such that

$$B^{*-1}(S_K^0 \cap B^*Y^*) \subset \mathcal{U}. \tag{4}$$

that  $B^*(BS_K)^0 \subset S_K^0$ , hence compared it with (4)

$$(BS_K)^0 \subset \mathcal{U} \tag{5}$$

$\mathcal{U} = \{y^* : |\langle y, y^* \rangle| < 1\}$ , then  $\mathcal{U}$  is an open neighbourhood of  $0$  in  $X^*$  since (5) holds. It follows moreover that  $y \in (BS_K)^{00}$  if and only if  $|\langle y, y^* \rangle| < 1$  for every  $y^* \in \mathcal{U}$ . Theorem ([2] pp. 34—36) we have a sequence  $y = \lim_{k \rightarrow \infty} y_k$  Q.E.D.

no proper subset of  $Y$  satisfying (P).  
 compact group,  $L^p \times L^{p'}$  the topological (greatest) common compact subgroup of  $L^p(G)$  (see e.g. [2] pp. 130—138) and

$$\{f_k \times g_k: \left(\sum_{k=1}^{\infty} f_k * g_k\right)(x) = 0 \text{ for } x \in P\}.$$

Thm 3 by the quotient space  $L^p \times L^{p'}/N$  and  $C_0(P)$  in [4]. Thus Thm 3 is an abstract background of the result of EDWARDS. Moreover, the connections between Thm 3 and the result of EDWARDS are clear.

extension also for two-norm spaces. Let  $\langle X, \|\dots\|, \|\dots\|^* \rangle$  be a two-norm space, which is not necessarily normal, [3]. If  $X$  is the completion of  $\langle X, \|\dots\|^* \rangle$  then we obtain from

the completion and the completion of  $\langle X, \|\dots\|^* \rangle$  the completion of the two-norm space  $\langle X, \|\dots\|, \|\dots\|^* \rangle$  then the norms  $\|\dots\|$

and  $\|\dots\|^*$  are equivalent. Consequently, for a non-trivial two-norm space, the  $\gamma$ -completion is always a proper subset of the completion of  $\langle X, \|\dots\|^* \rangle$ .

It is interesting that the following seemingly different approximation property is equivalent to (P).

(P') For every  $y \in Y$  with  $\|y\| = 1$  there is an  $x \in X$  with  $\|x\| \leq K$  so that

$$\|y - Bx\| < 1 - \varepsilon$$

where  $K$  and  $\varepsilon$  depend only on  $B$ .

*Theorem 4.* (P') implies property (b) in (A).

*Proof.* For every  $y^* \in Y^*$  we have

$$\begin{aligned} |\langle y^*, y \rangle| &\leq |\langle y^*, y - Bx \rangle| + |\langle y^*, Bx \rangle| \leq (1 - \varepsilon) \|y^*\| + \\ &+ K \|B^*y^*\|. \end{aligned}$$

Hence

$$\|y^*\| \leq (1 - \varepsilon) \|y^*\| + K \|B^*y^*\|$$

and

$$\|B^*y^*\| \geq \varepsilon/K \|y^*\| \quad \text{Q.E.D.}$$

### Summary

A bounded linear operator from  $L^p(G)$  into  $L^q(G)$  where  $1 \leq p, q < \infty$  and  $G$  is a locally compact group is called  $(p, q)$ -multiplier if it is commuting with translation. A  $(p, p)$ -multiplier is called a  $p$ -multiplier. An important class of  $p$ -multipliers are the bounded measures as convolution operators but the set of  $p$ -multipliers are not exhausted by them. On the basis of a theorem about Helson sets of the well-known book of RUDIN [1], conditions are given for the support of a  $p$ -multiplier for being bounded measure.

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